

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
09430/267001

In Re Application Of: William J. HOLLAND

Serial No.  
10/663,287Filing Date  
09/16/2003

Examiner

Group Art Unit  
3672Title: **COMPACT MID-GRIP FASTENER**

Address to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

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COMPACT MID-GRIP FASTENER

## Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 50-0591 as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

## Certificate of Transmission by Facsimile\*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

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I certify that this document and fee is being deposited on January 23, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

Jeff S. Berga 45,925  
Signature

Dated:

1/26/04

Jonathan P. Osha, Reg. No. 33,986  
ROSENTHAL & OSHA L.L.P.  
1221 McKinney Street, Suite 2800  
Houston, Texas 77010  
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Facsimile: (713) 228-8778

CC:

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

JAN 30 2004

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William R. HOLLAND

FILING

09/16/03

GROUP

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## U.S. PATENT DOCUMENTS

| *EXAMINER<br>INITIAL | DOCUMENT NUMBER | DATE       | NAME               | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|----------------------|-----------------|------------|--------------------|-------|----------|-------------------------------|
| A1                   | 4,928,531       | 05/29/1990 | Schult, et al.     | 73    | 855      | 04/11/1988                    |
| A2                   | 4,786,201       | 11/22/1988 | Huetter, et al.    | 403   | 22       | 10/30/1987                    |
| A3                   | 2002/0150444 A1 | 10/17/2002 | Mhaimeed           | 411   | 383      | 04/17/2001                    |
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| A5                   | 2002/0025241 A1 | 02/28/2002 | Mizuno, et al.     | 411   | 378      | 08/27/2001                    |
| A6                   | 5,681,189       | 10/28/1997 | Dunham             | 439   | 738      | 09/14/1995                    |
| A7                   | 4,696,611       | 09/29/1987 | Guay               | 411   | 103      | 04/15/1986                    |
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## FOREIGN PATENT DOCUMENTS

|    |  | DOCUMENT NUMBER   | DATE       | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|----|--|-------------------|------------|---------|-------|----------|-------------|----|
|    |  |                   |            |         |       |          | YES         | NO |
| B1 |  | G 89 10 965.1     | 11/22/1990 | Germany | G12B  | 5/00     |             | ✓  |
| B2 |  | DE 197 15 496 C 1 | 06/25/1998 | Germany | EO6B  | 1/60     |             | ✓  |
| B3 |  | 0 523 393 B1      | 01/20/1993 | Germany | F16B  | 35/04    |             | ✓  |
| B4 |  | EP 0 824 173 A1   | 02/18/1998 | Germany | E04F  | 13/08    |             | ✓  |
| B5 |  | 0 523 393 B1      | 04/27/1994 | Germany | F16B  | 35/04    |             | ✓  |

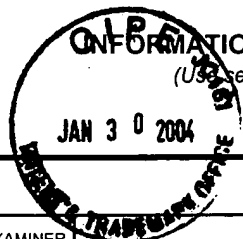
## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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|--|-----|-------------------|------------|---------|-------|----------|-------------|----|
|  |     |                   |            |         |       |          | YES         | NO |
|  | B6  | DE 101 62 910 A 1 | 11/28/2002 | Germany | F16B  | 37/00    |             | ✓  |
|  | B7  | DE 201 08 026 U 1 | 09/13/2001 | Germany | F16B  | 39/00    |             | ✓  |
|  | B8  | G 94 05 413.4     | 07/14/1994 | Germany | F16B  | 13/10    |             | ✓  |
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|--|-----|-----------------|------------|---------------|-------|----------|-------------|----|
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|  | B11 | EP 1 184 582 A2 | 03/06/2002 | Europe        | F16B  | 35/04    |             |    |
|  | B12 | 2 240 831 A     | 08/14/1991 | Great Britain | F16B  | 9/02     |             |    |
|  | B13 | 2 364 109 A     | 01/16/2002 | Great Britain | E06B  | 3/54     |             |    |
|  | B14 | 1382 236        | 01/29/1975 | Great Britain | B23G  | 9/00     |             |    |
|  | B15 | 1 558 022       | 12/19/1979 | Great Britain | E05F  | 11/54    |             |    |

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